

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
04536.033001

In Re Application Of: **Kazumasa NASU**

Serial No.

Filing Date  
**HEREWITH**

Examiner

Group Art Unit

Title: **OPTICAL DISC DRIVE APPARATUS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**

PATENT TRADEMARK OFFICE

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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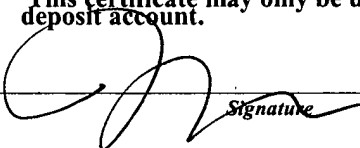
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Dated:

  
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>04536.033001</b>	Application Number
	Applicant(s) <b>Kazumasa NASU</b>	
	Filing Date <b>HEREWITH</b>	Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A1	7 - 57362	03/03/1995	Japan	G11B	17/04		✓
	A2	3088851	07/10/2002	Japan	G11B	17/04		✓
	A3	10 - 340511	12/22/1998	Japan	G11B	17/04		✓
	A4	2002 - 352501	12/06/2002	Japan	G11B	17/26		✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	A5	Patent Abstracts of Japan; Publication No. 07-057362 dated 03/03/1995 (2 pgs.)
	A6	Japanese Patent Office Registered Utility Model Gazette; Utility Model Registration No. 3088851 dated 07/10/2002 with Partial Translation of Japanese Utility Model Registration No. 3088851 (2 pgs.)

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

